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## U.S. Department of Commerce Patent and Trademark Office

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Application Number	10/037,415		
Filing Date	January 4, 2002		
First Named Inventor	David Baltimore		
Art Unit	1636		
Examiner Name	C. Hibbert		
	75723-		
Attorney Docket No.	ZB/JPW/GJG/CS		

Examiner Initials						
	1	ARIAD's Principal Brief For Plaintiffs-Appellees On Rehearing En Banc, filed October 5, 2009 in the concurrent litigation captioned ARIAD Pharmaceuticals, Inc., et al. v. Eli Lilly and Company, U.S. Court of Appeals for the Federal Circuit, Docket No. 2008-1248;				
	2	Brief of Amicus Curiae Law Professor Christopher M. Holman In Support Of Neither Party, filed October 13, 2009 in the concurrent litigation captioned ARIAD Pharmaceuticals, Inc., et al. v. Eli Lilly and Company, U.S. Court of Appeals for the Federal Circuit, Docket No. 2008-1248;				
	3	Brief For Amicus Curiae Roberta J. Morris, ESQ. Ph.D., In Support Of Neither Party, Urging Attention To The Grammatical Structure And Words of 35 USC § 112 ¶ 1, filed October 13, 2009 in the concurrent litigation captioned ARIAD Pharmaceuticals, Inc., et al. v. Eli Lilly and Company, U.S. Court of Appeals for the Federal Circuit, Docket No. 2008-1248;				
	4	Brief Of Amicus Curiae Novozymes A/S On En Banc Rehearing In Support Of Neither Party, filed October 13, 2009 in the concurrent litigation captioned ARIAD Pharmaceuticals, Inc., et al. v. Eli Lilly and Company, U.S. Court of Appeals for the Federal Circuit, Docket No. 2008-1248;	•			
	5	Brief Of Amici Curiae Mark D. Janis And Timothy R. Holbrook In Support Of Neither Party, filed October 14, 2009 in the concurrent litigation captioned ARIAD Pharmaceuticals, Inc., et al. v. Eli Lilly and Company, U.S. Court of Appeals for the Federal Circuit, Docket No. 2008-1248;				

EXAMINER
SIGNATURE DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.

Applicants: David Baltimore, et al.

Serial No.: 10/037,415 Filed: January 4, 2002

Exhibit A

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Form PTO-1449 Substitute		449 Substitute	•			10/037,415	500
			Patent and Trademark Office	Filing Date	January 4, 2		
						David Baltime	ore
INFORMATION DISCLOSURE				Art Unit	1636		
(Use sev	eral	sheets if necessal	ry)		Examiner Name	C. Hibbert 75723-	
					Attorney Docket No.	ZB/JPW/GJG/C	S
						122, 02.11, 000, 0.	
			NON PATENT LIT	ERATURE DOC	UMENTS		
Examiner Initials	Cite No.1		e author (in CAPITAL LE rnai, serial, symposium, c and/or		ige(s), volume-issue nu		T <sup>2</sup>
		Association Party, filed litigation of Eli Lilly and	Cus Curiae Ne On En Banc Re d October 15, captioned <i>ARIA</i> nd <i>Company</i> , U. cuit, Docket N	hearing In 2009 in in <i>D Pharmace</i> S. Court o	Support Of the concurruticals, Inc f Appeals fo	Neither ent ., et al. v.	
	7	California, University ( Rensselaer E Foundation ( Foundation, En Banc Rehe filed Octobe captioned Al	Ci Curiae The Wisconsin Alum of Texas System Polytechnic In Of State University And Research Caring In Support 15, 2009 in RIAD Pharmaceurus. Court of 2008-1248.	mni Researd m, Universi stitute, Si rsity Of Ne Corporation ort Of Affi the concur ticals, Ind	ch Foundation ity Of Roche IC.UNM, The ew York, NDS in Technologi irmance Of J rrent litiga c., et al. v	n, The ster, Research U Research es, Inc. On udgment, tion . Eli Lilly	
EXAMINER SIGNATURI			DATE	CONSIDERED			

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached.